



U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER

Docket Number:
11746/46003

Application Number
10/052,578

Filing Date
January 17, 2001

Examiner
To Be Assigned

Art Unit
1646

Invention Title
**HEAT SHOCK PROTEIN-BASED VACCINES
AND IMMUNOTHERAPIES**

Inventor(s)
ROTHMAN, et al.

Assistant Commissioner
for Patents
Washington D.C. 20231

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Date: September 16, 2002

Signature:

Deborah A. Somerville
Deborah A. Somerville, Reg. No. 31,995

Transmitted herewith for filing in the above-identified patent application is a Preliminary Amendment accompanied by the following:

1. Substitute Specification
2. Statement Under 37 CFR 7.81.125(b)(1)
3. Marked-up Copy of Substitute Specification

Dated: September 16, 2002

By:

Deborah A. Somerville
Deborah A. Somerville
(Reg. No. 31,995)

KENYON & KENYON
One Broadway
New York, N.Y. 10004
(212) 425-7200 (telephone)
(212) 425-5288 (facsimile)



PATENT
Docket No. 11746/46003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Rothman et al.
Serial No. : 10/052,578
Filing Date : February 17, 2002
For : HEAT SHOCK PROTEIN-BASED VACCINES AND
IMMUNOTHERAPIES
Examiner : To Be Assigned
Art Unit : 1646

Commissioner for Patents
Washington D.C. 20231

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to: Commissioner for Patents, Washington, D.C. 20231 on
September 16, 2002

By: 
Deborah A. Somerville (Reg. No. 31,995)

PRELIMINARY AMENDMENT

SIR:

Applicants respectfully request that the accompanying Substitute Specification be
made of record.

Please amend the above-referenced patent application as follows:

IN THE SPECIFICATION:

Please replace the specification of record with the accompanying Substitute
Specification. In accordance with 37 CFR 1.125, the Substitute Specification is submitted in
clean form, along with a marked up version showing all the changes to the specification of
record.